What does Uptick Partners, LLC do with your financial information?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depends on the product or service you have with us.

This information can include:

- Social Security number and income
- Account balances and assets
- Investment experience and risk tolerance.

When you are no longer a client, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Uptick Partners, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does Uptick Partners, LLC share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For AI notetakers and text messaging purposes – to schedule or execute meetings or share general information	No	Not Applicable
For our affiliates' everyday business purposes – information about your transactions and experiences	No	Not Applicable
For our affiliates to market to you	No	Not Applicable
For nonaffiliates to market to you	No	Not Applicable

Other Important Information

By signing and executing Uptick Partner's Advisory Agreement, I acknowledge that I have fully read and understand this Privacy Policy and opt-in as outlined above. I understand that if I have any questions or concerns about this policy, it is my responsibility to discuss this with my financial professional.

To Limit Our Sharing

Please note: By executing an Investment Advisory Agreement with Uptick Partners, LLC, the Client agrees to "opt-in" to this privacy policy. When you are no longer our client, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing. By executing an Investment Advisory Agreement with Uptick Partners, LLC, the Client agrees to "opt-in" to this privacy policy.

Questions?

Call (936) 800-0800 or go to www.uptickpartners.com.

Who We Are

Who is providing this notice?	Uptick Partners, LLC

What We Do

How does Uptick Partners, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building. We take reasonable steps to ensure the confidentiality of client information shared via text messages. However, clients are reminded to avoid including sensitive personal or financial information, such as account numbers or Social Security numbers, in text messages.
How does Uptick Partners, LLC collect my personal information?	We collect your personal information, for example, when you: • Open an account • Deposit money • Seek advice about your investments • Enter into an investment advisory contract • Tell us about your investment or retirement portfolio or earnings We also collect your personal information from other companies. To ensure compliance and protect client information, all text message communications are conducted using firm-approved, secure communication platforms that are monitored and archived in accordance with regulatory requirements.
Why can't I limit all sharing?	Federal law gives you the right to limit only: • Sharing for affiliates' everyday business purposes – information about your creditworthiness; • Affiliates from using your information to market to you; and • Sharing for nonaffiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing or an account I hold jointly with someone else?	Per the above, Uptick cannot manage your account if you limit sharing with our every day business purpose.

Definitions

Affiliates	Companies related by common ownership and control. They can be financial and nonfinancial companies. • We do not share with our affiliates.
Non-Affiliates	Companies not related by common ownership and control. They can be financial or nonfinancial companies. • We do not share with nonaffiliates so that they can market to you.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or service to you. • We do not jointly market.

No mobile information will be shared with third parties/affiliates for marketing/promotional purposes. All other categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties.