

Privacy Policy Notice

Rev. May 2024

FACTS	WHAT DOES HOLISITIC PLANNING, LLC DO WITH YOUR FINANCIAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depends on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ▪ Social Security number and income ▪ Account balances and assets ▪ Investment experience and risk tolerance. When you are <i>no longer</i> a client, we continue to share your information as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Holistic Planning, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Holistic Planning, LLC share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates' everyday business purposes – information about your transactions and experiences	No	Not Applicable
For our affiliates' everyday business purposes– information about your creditworthiness	No	Not Applicable
For our affiliates to market to you	No	Not Applicable
For nonaffiliates to market to you	No	Not Applicable

To limit our sharing	Please note: By executing an Investment Advisory Agreement with Holistic Planning, LLC, the Client agrees to “opt-in” to this privacy policy.
-----------------------------	--

Questions?	Call (936) 800-0800 or go to www.holisticplanning.com
-------------------	---



Who We Are

Who is providing this notice?	Holistic Planning, LLC
-------------------------------	------------------------

What We Do

How does Holistic Planning, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building.
How does Holistic Planning, LLC collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Deposit money • Seek advice about your investments • Enter into an investment advisory contract • Tell us about your investment or retirement portfolio or earnings <p>We also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes – information about your creditworthiness; • Affiliates from using your information to market to you; and • Sharing for nonaffiliates to market to you. <p>State laws and individual companies may give you additional rights to limit sharing.</p>
What happens when I limit sharing or an account I hold jointly with someone else?	Per the above, Holistic cannot manage your account if you limit sharing with our every day business purpose.

Definitions

Affiliates	<p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • We do not share with our affiliates.
Non-Affiliates	<p>Companies not related by common ownership and control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> • We do not share with nonaffiliates so that they can market to you.
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or service to you.</p> <ul style="list-style-type: none"> • We do not jointly market.

Other important information

Holistic Planning, LLC may share information with broker-dealer firms having regulatory requirements to supervise certain activities of Holistic Planning's activities. By signing and executing Holistic Planning's Agreement, you agree to opt into this privacy policy.